

Attorney's Docket No. 05220.P002X

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:	)	
	)	
David D. Faraldo II	)	Examiner: Unassigned
	)	
Application No.: Not yet assigned	)	Art Unit: Unassigned
	)	
Filed: Herewith	)	
	)	
For: Method of and Apparatus for	)	
Notification of State Changes in a	)	
Monitored System	)	
Which is a Continuation-in-Part of	)	
Application No. 09/703,329	)	
Filed: October 31, 2000	)	
	)	

J1021 U.S. PTO  
10/016117  
10/30/01

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- ☒ 37 C.F.R. §1.97(b).
- ☐ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- ☐ A statement pursuant to 37 C.F.R. §1.97(e) or
- ☐ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- ☐ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.


Pursuant to 37 C.F.R. § 1.98(d), no copies of cited art are enclosed. Prior art has been previously cited in parent application no. 09/703,329, filed October 31, 2000.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: October 30, 2001

  
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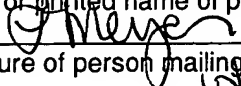
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Date of Deposit: October 30, 2001

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<b>Form PTO-1449 (Modified)</b>		<b>Atty D ck t N .:</b> 05220.P002X		<b>Serial N .:</b> Not Yet Assigned			
List of Patents and Publications Statement (Use several sheets if necessary)				<b>Applicant:</b> David D. Faraldo II			
				<b>Filing Dat :</b> Herewith			
<b>REFERENCE DESIGNATION</b>			<b>U.S. PATENT DOCUMENTS</b>				
Examiner Initials		Document No.		Class	Sub-Class	Filing date if appropriate	
	A	5,870,559	Leshem, et al.	395	200.54	04/11/1997	
	B	6,006,260	Barrick, Jr., et al.	709	224	06/03/1997	
	C	6,064,653	Farris	370	237	01/07/1997	
	D	5,958,008	Pogrebisky, et al.	709	223	04/11/1997	
	E	6,003,143	Kim, et al.	714	38	02/28/1997	
	F	6,012,087	Freivald, et al.	709	218	05/20/1998	
	G	6,138,157	Welter, et al.	709	224	10/12/1998	
<b>FOREIGN PATENT DOCUMENTS</b>							
No.		Document No.	Date	Country	Class	Sub-Class	Translation
	H	0 022 534	04/20/2000	PCT	G06F	13/00	
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	I	NetSaint web Homepage at <a href="http://www.netsaint.org">www.netsaint.org</a> , 24-Sept-2000, 3 pages.					
	J	TELALERT Datasheet, Telamon, Inc., Oakland, CA., 16-Jun-1999, 2 pages.					
	K	G. Trent and M. Sake, "WebStone: The First generation in HTTP Server Benchmarking," printed from website at: <a href="http://www.mindcraft.com/webstone/paper.html">http://www.mindcraft.com/webstone/paper.html</a> . Feb 1995, 11 pgs.					
	L	Almeida, Jussara, et al., " Measuring th eBehavior of a World-Wide-Web Server, " in: Seventh Conference on High Performance Networking (HPN), April 1997. pp. 57-72					
	M	Senna, Jeff, " PageSentry keeps tabs on Internet servers," InfoWorld, 6/30/97, Vol. 19, Issue 26, pg. 82B.					
	N	Davis, Beth, " Web Services Help Measure Site Success," Communications Week, 9/30/96, Issue 631, 2 pages.					
	O	NFR® Intrusion Detection Appliance User's Guide, Version 5.0, Network Flight Recorder, Inc., October 2000.					
	P	Ranum, Marcus J., et al., " Implementing a Generalized Tool for Network Monitoring," 1997 LISA XI Conference, October 26-31, 1997- San Diego, CA, pgs 1-8.					
	Q	LaLiberte, & Braverman, " A Protocol for Scalable Group and Public Annotations," from website <a href="http://www.igd.fhg.de/archive/1995-www95/proceedings/papers/100/scalable-annotations.html">www.igd.fhg.de/archive/1995-www95/proceedings/papers/100/scalable-annotations.html</a> . 10 pgs.					
	R	Marc Abrams & Stephen Williams, " Complementing Surveying and Demographics with Automated Network Monitoring," 17Jun'96, <a href="http://vtopus.cs.vt.edu/~chitra/docs/9623j/96w3j.html">http://vtopus.cs.vt.edu/~chitra/docs/9623j/96w3j.html</a> , 22 pgs.					
Examiner			Date Considered				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

<b>Form PTO-1449 (Modified)</b>		<b>Attorney Docket No.:</b> 05220.P002X		<b>Serial No.:</b> Not Yet Assigned			
<b>List of Patents and Publications Statement</b> (Use several sheets if necessary)				<b>Applicant:</b> David D. Faraldo II			
				<b>Filing Date:</b> Herewith			

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
Examiner Initials		Document No.		Class	Sub-Class	Filing date if appropriate

FOREIGN PATENT DOCUMENTS							
No.		Document No.	Date	Country	Class	Sub-Class	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
S		Monk, Tracie, et al., "Internet Data Acquisition & Analysis: Status & Next Steps," from website: <a href="http://www.caida.org/outreach/papers/data-inet97.html">www.caida.org/outreach/papers/data-inet97.html</a> , March 21, 1997, 16 pgs.
T		Sedayao, Jeffrey, C. "IPPM Mail Archives: draft of end to end metrics (ICMP, HTTP) document, from website: <a href="http://www.advanced.org/IPPM/archive.1/0226.html">www.advanced.org/IPPM/archive.1/0226.html</a> , 26Nov'96, 14 pgs.
U		Cottrell, L. & Logg, C., "Network Monitoring for the LAN & WAN," Talk given at ORNL, June 25, 1996, from website: <a href="http://www.slac.stanford.edu/grp/scs/net/talk/ornl.htm">www.slac.stanford.edu/grp/scs/net/talk/ornl.htm</a> , 16 pgs.
V		Installation and Configuration Manual for Big Brother Systems and Network Monitors, Ver. 1.6b, Jan 3'01 and READ.ME.CHANGES file to versions since Beta 0.91, 18 Nov'96 printed from website: <a href="http://www.bb4.com">www.bb4.com</a> ; 34 pgs and 12 pgs respectively.
W		Fielding, Roy T., "Maintaining Distributed Hypertext Infostructures: Welcome to MOMspider's Web.," Paper presented at WWW94, Geneva, Switzerland, May 25-27, 1994, printed from website: <a href="http://www.ics.uci.edu/pub/websoft/MOMspider/WWW94/paper.html">www.ics.uci.edu/pub/websoft/MOMspider/WWW94/paper.html</a> ; 24 pgs.
X		Almeida, JM; Almeida, V; and Yates, D. "WebMonitor: a Tool for Measuring World-Wide Web Server Performance," April, 1997, printed from website: <a href="http://www.firstmonday.dk/issues/issues2_7/almeida/">www.firstmonday.dk/issues/issues2_7/almeida/</a> ; 24 pgs.

Examiner	Date Considered
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